

Japan Association of Microscopic Dentistry Rules on Conflicts of Interest

Established July 31, 2008

Enforced on August 1, 2008

Chapter 1 General Provisions

(Our Goal)

Article 1. These rules shall be established with the following objectives.

Ensure the transparency concerning conflicts of interest regarding the business execution of the Japan Association of Microscopic Dentistry (hereinafter referred to as "the Association")

In order to ensure fairness, objectivity and reliability of the project of this association by appropriate management and development.

(Definition)

Article 2. In this Regulation, the definition of terms is defined as follows.

(1) Conflicts of Interest: Conflicts of interest between individuals' interests caused by external economic activities such as industry-academia collaborative activities and social responsibility as an academic organization. Conflict of interests mean a situation in which concern may be expressed from a third party as to whether or not fair and reasonable judgment required for performance will be impaired.

(2) Declaration: If a member falls under Article 3, notification of the society of the fact based on the prescribed conflict of interest declaration.

(3) Disclosure: In order to deliberate the information described in Article 3 to the Board of Directors and Conflict of Interest Committee of the Association presents some or all of self-declaration of conflicts of interest of the subject as materials.

(4) Public: Means that the Association publicizes some or all the information set forth in Article 3 to members of the society and other persons.

(Matters to be declared and amount)

Article 3. The matters to be declared and the monetary amounts etc. shall be determined as follows.

(1) Amounts paid as a corporation or organization for profit (hereinafter referred to as organization") as officers and advisory positions. Declare the name of group and amount when a company receives compensation of 1 million yen or more per year from an organization.

(2) Whether or not shares are held and the profit obtained from the shares. When acquiring profits of 1 million yen or more per year from the shares of one company or 5% or more of the number of shares outstanding in case of possession, declare the stock name, number of shares, stock price and profit amount.

(3) For cases where the annual fee for royalty use is one million yen or more out of the amount paid as patent royalty fee from the organization, declare the name and amount of the group.

(4) With regard to the case where the annual amount of 500,000 yen or more from one group is paid as a daily allowance, attendance fee, lecture fee, etc., declare the name and amount of the group.

(5) The amount of money paid as a manuscript (author's fee) from a group, in the case of an annual

fee of 500,000 yen or more from one organization, declare the name and amount of the organization.

(6) For the case where the total amount paid as research expenses exceeds 2 million yen or more per year from one organization, declare the name and amount of the organization.

(7) For the case where the total amount of scholarship donation (encouragement donation) from one organization exceeds more than 2 million yen per year, declare the name of the organization and amount.

(8) For cases in which other remuneration (travel, gifts, etc.) received from one organization exceeds 100,000 yen per year, declare the name and amount of the group.

Chapter 2. Conflicts of Interest Concerning Presentations at Annual Conventions and similar events

(Target)

Article 4 .In this Chapter 2, we cover the case where members make presentations and lectures such as academic conferences, symposiums, lectures, seminars, etc. held by the Association.

(Conflicts of interest of the eligible person)

Article 5. The Target of Article 4, in relation to the organization related to the content of the presentation, when it falls under Article 3 in the past three years on the basis of the abstract submission date, an eligible person must declare indicating the period and reports by the declaration format. (Form 1). However, when the Board of the Directors votes that it requires a declaration for a period exceeding three years, the eligible person shall file a declaration for the designated period (Form 1).

2- Co-researchers and co-presenters of the subjects of Article 4, if they fall under the preceding paragraph, shall indicate their period and declare by the format. (Form 1).

3- The eligible person of Article 4 investigate whether cooperative researchers and co-presenters fall under Article 3. If so, he/she is responsible for collaborators and co-presenters making declarations.

(Release)

Article 6. If the subject of Article 4 filed a declaration under Article 3, the conflict of interest status shall be indicated on the presentation slide or poster etc. (Form 2).

Chapter 3. Conflicts of Interest concerning Journal Presentations and Online Journal Announcements in the Journal of the society.

(Target)

Article 7. In this Chapter 3, we will cover cases where members make presentations in the journal (reviews, original works, etc.) to the institution magazine etc. of our Association.

(Conflicts of interest of the eligible person)

Article 8. The eligible person of Article 7, in relation to the organization related to the content of the presentation, when it falls under Article 3 in the past three years on the basis of the date of submission, must declare indicating the period by the format. (Form 1). However, when the Board of Directors votes that it requires a declaration for a period exceeding three years, the eligible person shall file a declaration for the designated period (Form 1).

2- Co-researchers and co-presenters of the subjects of Article 7, when they fall under the

preceding paragraph, shall declare indicating their period by the format. (Form 1).

3- The subject of Article 7 investigates whether co-investigators and co-presenters fall under Article 3. If so, he/she is responsible for collaborators and co-presenters making declarations.

(Release)

Article 9. The eligible person of Article 7 shall present conflict of interest status by (Form 1) according to the posting regulations of the journal and online journal.

Chapter 4. Conflicts of interest of persons who intend to conduct research by Health Labor Science Research Fund and other public research expenses.

(Target)

Article 10. In this Chapter 4, the case where the member intends to conduct or conduct research for this society by the Ministry of Health, Labor and Welfare or the same research fund and other public research expenditure.

(Conflicts of interest)

Article 11. The eligible person of Article 10 shall, in relation to the organization relating to the research conducted by the Association, declare if a research falls within the past three years on the basis of the declared date, not less than one month before the public offering of the research is started when it falls under Article 3 indicating that period by the format. (Form 3). However, when the Board of Directors votes that it is necessary to file a declaration for a period exceeding three years, the eligible person shall file a declaration for the designated period. (Form 3).

2. If the eligible person of Article 10 starts new research which falls under Article 3 during the research period, they must submit the declaration within two months (Form 3).

3. The eligible person of Article 10 shall notify the Association if the spouse, relatives within the first degree of relatives, and those who share income and property fall in the preceding paragraph. (Form 3)

Chapter 5. Conflicts of Interest among Officers (Chairpersons, Directors, Auditors), the General Assembly of the Scientific Congress, Various Committees and its Working Group Committee.

(Target)

Article 12. This Chapter 5 covers the case where the chairpersons, directors, auditors, academic convention chairpersons, various committees and their working group committee performs the projects that the society conducts.

(Conflicts of Interest of the Target Company)

Article 13. The eligible person of Article 12 conducts projects undertaken by the Association, if they fall under Article 3, he/she must declare for the past two years at the time of inauguration and after that, must declare every year (Form 3).

2- If the eligible person of Article 12 newly falls under Article 3 during the term of office, he / she shall file the declaration within 2 months (Form 3).

3. The eligible person of Article 12 investigates whether the spouse, relatives within the first degree of relatives, and those who share income and property fall under the preceding paragraph 1 and, if applicable, the subject of Article 12, the person must make a declaration to that effect (Form 3).

(Disclosure and Consent to Disclosure)

Article 14. The eligible person of Article 12 agrees in advance that the declarations made under this chapter will be disclosed and made public through prescribed procedures.

Chapter 6. Handling Conflict of Interest Self-Declaration Form

(Storage)

Article 15. The Society presides that the supervisor of the conflict of interest self-declaration as the president, and strictly keeps the declaration.

2- The Society shall strictly keep self-declaration of conflict of interest based on Chapter 2, Chapter 3, Chapter 4 and Chapter 5 strictly in the Secretariat under the supervision of the President.

(Storage Period)

Article 16 The Society shall keep self-reported conflict of interest information for the following periods.

- (1) Five years after the declaration on Chapter 2
- (2) Five years after the declaration of a publication in the journal on Chapter 3
- (3) Five Years after the declaration of the Study on Chapter 4
- (4) Two years after the declaration term of office on Chapter 5

2- When the storage period expires, the Conflict of Interest Committee discards self-reported conflicts under the supervision of the Board. However, at the end of the storage period, if a prescribed event of Article 17 has occurred, the disposal of the subject's conflict of interest self-declaration will be suspended by the Board of Directors' decision.

(Disclosure)

Article 17. In the event of any of the following reasons, the Association shall disclose the self-declaration of conflict of interest of the eligible person to the Board of Directors and Conflict of Interest Committee.

(1) When the Board of Directors finds that there is a doubt in violation of the Ethics / Disciplinary Rules or this Regulation concerning the contents of declaration of the eligible person and that it is necessary to disclose.

(2) A member or nonmember shall point out to the Board, in writing, that there is a doubt in violation of the Ethics and Disciplinary Regulations with respect to the eligible person's declaration content, and the Board of Directors admits it is deemed appropriate.

Chapter 7. Deliberation of Conflicts of Interest

(Deliberation / Emergency Measures)

Article 18. In the case referred to in the preceding Article, the Board of Directors shall take the disclosure of the conflict of interest self-declaration of the eligible person, deliberate its doubts and make judgments.

2- The Board of Directors may consult with the Committee on Conflicts of Interest when it finds it necessary in making the judgment set forth in the preceding paragraph.

3- The Board of Directors is in the middle of deliberation that if there is concern that there is an important and serious breach of self-declaration of conflicts of interest of the eligible person as

described in Chapter 1, and when it is deemed necessary as an emergency measure, to the chairperson of the academic convention to instruct measures to stop presentations and lectures at the academic conference, etc of the same person.

(Consultation · Report)

Article 19. The Conflict of Interest Committee receives consultation from the Board of Directors and reports to the Board of Directors.

2- The Conflicts of Interest Committee may conduct investigations and hearings necessary for reporting.

(Conflicts of interest against deliberation etc.)

Article 20. When the Board of Directors and the Committee of Conflicts of Interest conduct deliberations, consultations, reports, etc. of the preceding two Articles, when the member of the Director and the Conflict of Interest Committee is the eligible person, that person shall not participate in deliberate, consult, report etc.

(Measures for offenders)

Article 21. Board of Directors shall take measures when the declaration pertains to Article 5, Article 8, Article 11 and Article 13 as non-compliance and non-performance, after obtaining an advisory to report to the Ethics Committee which can take the following measures according to its degree for a fixed period.

(1) To instruct and notify the eligible person to pledge for an accurate declaration will be made from now on.

(2) Prohibition of presentations and lectures at academic conventions held by the Association.

(3) Prohibition of the publication of papers, etc. in the publications and online journals of our society.

(4) Prohibition of inauguration of the major academic conference as a president.

(5) Prohibition of attendance at the General Assembly and Committee of the Association.

(6) To disapprove to those who intend to become a member of the society.

(7) To seek a resolution from the General Assembly concerning the expulsion from members of the society.

(Appeals against Measures)

Article 22. Any person who has received measures set forth in the preceding article may appeal to the Association within one week. Upon acceptance of the complaint, the Council orders the Conflict of Interest Committee to reconsider and, after deliberation by the Council, notifies the complainant of the result.

(Revision or abolition of regulations)

Article 23. In order to revise or abolish this rule, it shall obtain a resolution of the Board of Directors.

Supplementary provision 1.

1. This rule shall come into force as from August 1, 2014.

Self-reported Conflicts of Interest notice.

To: President, Japan Association of Microscopic Dentistry

1 Presenter name etc.

Name		Institution	
Title name			

2. Declaration items of the presenter

- The first presenter declares all presenters.
- Describe everything related to the presentation.
- Abstract in the past three years from the date of submission, when there are applicable matters, include the name of the presenter and the period thereof.

	Declaration	
(1) Officers / Advisors Yes or No	Presenter name/Organization name/ Period remuneration	amount (in tens of thousands of yen)
(2) Shareholding Yes or No	Presenter name / Organization name / Period of holding,	Number of shares, Stock price and Profit (ten thousand yen)
(3) Royalty fee, etc. Yes or No	Presenter name/Organization name/ Period remuneration	Amount (Ten thousand yen)
(4) Lecture Remuneration, etc. Yes or No	Presenter name/Organization name/ Period remuneration	Amount (Ten thousand yen)

(5) Document fee, etc. Yes or No	Presenter name/Organization name/ Period remuneration	Amount (Ten thousand yen)
(6) Research Fees	Presenter name/Organization name/ Period remuneration	Amount (Ten thousand yen)
(7) Scholarship Donations	Presenter name/Organization name/ Period remuneration	Amount (Ten thousand yen)
(8) Others	Presenter name/Organization name/ Period remuneration	Amount (Ten thousand yen)

Matters to be Declared and Amounts

(1) When you receive a payment of 1 million yen or more per year from an enterprise, a corporation or an organization (hereinafter referred to as an organization) for the purpose of profit.

(2) When acquiring profits of 1 million yen or more per year from the shares of one company and when holding 5% or more of the number of shares outstanding.

(3) In cases where the annual fee is one million yen or more as one royalty fee paid as royalty fee from the organization.

(4) Amount of money paid as a daily allowance, attendance fee, lecture fee, etc. from a group, if it is more than 500,000 yen per year from one organization.

(5) From the group, if the amount paid as manuscript (authorization fee) from one organization is more than 500,000 yen per year.

(6) When the total amount from one organization out of the amount paid as research expenses from the organization is over 2 million yen per year.

(7) If the total amount of the donation from the organization to the affiliated institution is more than 2 million yen per year out of the amount paid as a scholarship donation from the organization.

(8) When other remuneration (travel, gifts, etc.) received from one organization is 100,000 yen or more per year.

Pledge: The situation concerning conflicts of interest of all presenters is certainly as described above.

Declared date Year/ Month/ day

Filer's signature or seal

Note) This self-declaration of conflict of interest will be kept for five years after the announcement.

Official Use (not required)
Receiving Date
Year Month Day

Presence or absence of conflict of interest

Presenter name: (All members' names)

There are no organizations, etc. in conflict of interests to declare in relation to the presentation.

(Form 2)

Presence or absence of conflict of interest

Presenter name: (All members' names)

Applicant Name / Company / Organization Name / Period Amount

Pharmaceutical company adviser (20XX to 20XX) Annual

income million yen

(Form 3)

Self-report of Conflict of Interest Notice

To President, Japan Association of Microscopic Dentistry

Filer's Name:

Affiliation (facility / department):

Job title at this society: * If there is no job title not required

Name of the committee at the academic society: * When there is no committee, no mention is required

A. The filer's own declaration

* Describe the all research related to the project of the Japanese Association of Microscopic Dentistry

(1) Amount paid as corporation, corporate or organization for profit (hereinafter referred to as "organization") as an officer, advisory position fee.

Describe the amount of remuneration from one organization that is more than 1 million yen per year.

Yes or No (In case of "Yes", the contents are described for each group.) * When listing more than one, list it in lines.

Group name / Period	Title (officer, adviser, etc.)	Remuneration
(Example) Pharmaceutical Co., Ltd.	External consultant	2.4 million yen

(2) Holding of shares and the profit obtained from the stock

Describe that the dividend from the stock of one company is 1 million yen or more per year and those holding 5% or more of the stock.

Yes or No (If "Yes" List the contents for each company)

Company name / Period	Number of Shares	Stock price at the time of declaration (Per share)	Profit from this stock during the last year

(3) Amount paid as patent royalty from the organization

Describe one patent royalty fee of 1 million yen or more per year

Yes · No (If “ yes ” , describe the contents for each patent)

Group name / period	Patent name	Patent royalty · transfer fee

(4) Amount paid from organizations as daily allowance, attendance fee, lecture fee, etc.

Describe lecture fee, etc. from one organization of 500,000 yen or more per year.

Yes · No (If “ Yes ” , describe the contents for each group)

Group name / period	lecture fee, etc.

(5) Amount paid as a manuscript (authorization fee) from the organization.

Describe the manuscript fee, etc. from one organization more than 500,000 yen per year.

Yes or No (If “Yes”, describe the contents for each group)

Group name / period	document fee etc.

(6) Amount paid as research expenses from the organization.

Describe research expenses from one organization whose annual amount exceeds 2 million yen.

Yes or No (If “Yes”, describe the contents for each group)

Organization / Period	Research Name	Research Expenses

* Do not deduct the administrative expenses, state the total deposit amount from the group.

(7) Amount paid as a scholarship donation (promotion donation) from the organization

Describe the total amount of scholarship donation etc. from one organization to the institution which the applicant belongs to more than 2 million yen per year.

Yes or No (If “Yes”, describe the contents for each group)

Group name / period	Scholarship donation, etc.

(8) Amount received from the organization as other remuneration (travel, gift).

Describe the fee when it is 100,000 yen / year or more from one organization.

Yes or No (If "Yes", describe the contents for each group)

Group name / period	Remuneration details	Amount

B. Declaration items of the spouse, relatives within the first degree, and those who share income and property.

* List the relevant announcement, research, and those related to the business of the Japanese Microscopic Dental Society without omission.

Relationship with the filer:

(1) Amount paid from corporate, corporate or organization for profit (hereinafter referred to as "organization") as officer, advisory position fee.

Describe the amount of remuneration from one organization that is more than 1 million yen per year.

Yes or No (If "Yes", describe the contents for each group)* When listing more than one, write them line by line.

Group name / period	Title (officer, adviser, etc.)	Remuneration

(2) Holding of shares and the profit obtained from the stock.

State when the profit from the stock of one company is 1 million yen or more per year and those holding 5% or more of the stock.

Yes or No (If "Yes", describe the contents for each group)

Company name / Period	Number of shares	Stock price at the time of declaration(Per share)	Profit from this stock during the last year.

(3) Amount paid as patent royalty from the organization

Describe one patent royalty fee of 1 million yen or more per year

Yes or No (If "Yes", describe the contents for each patent)

Group name / period	patent name	patent royalty · transfer fee

(4) Amount paid from organizations as daily allowance, attendance fee, lecture fee, etc.

Describe speech fee, etc from one organization of 500,000 yen or more per year

Yes or No (If "Yes", describe the contents for each group)

Group name / period	period lecture fee etc

(5) Amount paid as a manuscript (authorization fee) from the organization

Describe the manuscript fee, etc. from one organization more than 500,000 yen per year

Yes or No (If "Yes", describe the contents for each group)

Group name / period	document fee, etc.

(6) Amount paid as research expenses from the organization

Describe research expenses from one organization whose annual amount is more than 2 million yen.

Yes or No (If "Yes", describe the contents for each group)

Group name / period	Period Research Name	Research Expenses

Describe the total deposit amount from the group without deducting the administrative expenses.

(7) Amount paid as a scholarship donation (promotion donation) from the organization

Describe the total amount of scholarship donation etc. from one organization to the institution where the applicant belongs more than 2 million yen per year.

Yes or No (If "Yes", describe the contents for each group)

Group name / period	scholarship donation, etc.

(8) Amount received from the organization as other remuneration (travel, gifts, etc.)

Describe the fee of 100,000 yen / year or more from one organization.

Yes or No (If "Yes", describe the contents for each group)

Group name / period	Period Compensation	Description Remuneration

Pledge: It is no doubt that the situation concerning my conflict of interest is as described above. There are no conflicts of interest other than the above that will interfere with my activities at the Japan Association of Microscopic Dentistry. The content of this declaration is approved for publication if social and legal requests are made.

Date of Declaration: Year Month day

Filer signature · seal

Notes):

1) Describe the activities and remuneration for the past three years, starting from the filing date. However, in the case of executive officers, academic convention presidents, various committees and members of the working committee, describe the activities and compensation for the past two years at the time of inauguration, then the past year.

2) If a new conflict of interest arises, submit a revised declaration within 2 months from that point

3) This self-declaration of conflict of interest will be kept for 5 years after the end of the research, and 2 years after the term of office in the case of executives, etc.

Official Use (not required)
Date Received
Year Month Day